

THE ARMS TRADE TREATY REPORTING TEMPLATE

INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS TRADE TREATY, IN ACCORDANCE WITH ARTICLE 13(1)

This template is intended for use by States Parties to the Arms Trade Treaty when preparing their initial report in accordance with the Treaty's Article 13(1).

Article 13(1) requires States Parties to “report to the Secretariat on any new measures undertaken to implement the Treaty, where appropriate” and thus does not limit information-giving only to measures related to binding obligations in the Treaty. However, in terms of national implementation, binding obligations have a special significance. To highlight this, the template distinguishes between two types of information: (A) information which relates to binding obligations under the Treaty, and (B) information which relates to provisions in the Treaty which are estimated to be binding to a lesser degree, or non-binding. Where the template touches upon information related to the (B) type of provisions in the Treaty, the rows are shaded to make this distinction clear.

The shading does not indicate that certain information is purely voluntary, its purpose is to facilitate the use of this template as a diagnostic tool for assessing at the national level the need for implementation work to fulfil requirements of the Treaty. The un-shaded items are necessary to implement, the shaded items represent desirable features of a national control system - which under certain circumstances may also be necessary to implement.

The division of obligations into binding and non-binding has been undertaken - solely for the purpose of this Template - on the basis of a strict observance of the qualifiers included in the text of the Treaty. Thus,

- if a provision in the text is prefaced with “shall” only, it is considered binding and information on that topic should be provided in the initial report.
- if a provision in the text has qualifiers, such as “shall...subject to its national laws”, or “shall...pursuant to national law”, or “shall...consistent with national law”, or “shall...where necessary/appropriate”, then a binding obligation is deemed to exist if certain pre-requisites are fulfilled. In this case, information on the topic should be provided in the initial report. If the pre-requisites are not fulfilled, the provision is deemed to be non-binding. In this case information need not be provided unless measures have in fact been taken in the national context to comply with such a provision.
- if States Parties are only encouraged to take, or invited to consider taking, certain actions, the provision is deemed non-binding. This category also includes qualifiers such as “may include...” or actions to be initiated “by mutual consent” with another State Party. Information should be provided if measures have been taken in the national context that fulfil this type of provision.

On a voluntary basis, more information may always be provided.

Please note that Article 13.1 also requires States Parties to “report to the Secretariat on any new measures undertaken in order to implement this Treaty, when appropriate”. This template may be used to supply such updates as well. Only changes need then be indicated either by highlighting, tracking or marking up changes made in each section OR providing a summary description of the updates made in Annex A.

GOVERNMENT OF BARBADOS

INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS TRADE TREATY, IN ACCORDANCE WITH ITS ARTICLE 13(1)

DATE OF REPORT _____

This Initial Report may be made publicly available	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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This Report contains only updates to a previously submitted initial report dated (If you tick this box, please highlight or track the changes made to each section OR provide a brief summary of your updates in Annex A)	<input type="checkbox"/>
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1. NATIONAL CONTROL SYSTEM AND LIST

1.1 GENERAL OVERVIEW			
A. Overview of legislation and ordinances governing the national control system [Article 5(2)] (Please list below. If the national control system is governed in whole or part by other means than legislation or ordinances, please indicate these also)			
B. The national control system includes the following:		Yes	No
i)	a national control list [Article 5(2)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ii)	competent national authorities [Article 5(5)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	one or more national points of contact to exchange information on ATT implementation [Article 5(6)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If 'Yes' to any of the items above, please consider providing further information. If 'No' to any of the items above, please explain in more detail.			
<i>i) All firearms are restricted in Barbados.</i>			
C. Additional voluntary information about the national control system (Please elaborate below - for instance regarding inter-agency coordination structures, training systems for relevant officials, transparency and accountability mechanisms, outreach to private actors such as industry, or any ongoing / planned review or change of the national control system or parts thereof)			
1.2 NATIONAL CONTROL LIST			
D. The national control list covers the following arms:		Yes	No
i)	Battle tanks [Article 2(1)(a)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	Armored combat vehicles [Article 2(1)(b)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	Large-caliber artillery systems [Article 2(1)(c)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iv)	Combat aircraft [Article 2(1)(d)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
v)	Attack helicopters [Article 2(1)(e)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
vi)	Warships [Article 2(1)(f)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>

vii)	Missiles and missile launchers [Article 2(1)(g)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
viii)	Small arms and light weapons [Article 2(1)(h)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
The national control list also includes the following items, in order to enable the application of Articles 3 and 4:		Yes	No
ix)	Ammunition/Munitions for the conventional arms covered in Article 2(1) [for the application of Article 3]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
x)	Parts and components in a form that provides the capability to assemble the conventional arms covered in Article 2(1) [for the application of Article 4]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If 'Yes' to any of the items above, please consider providing further information. If 'No' to any of the items above, please explain in more detail below			
<i>All firearms and ammunition in Barbados are restricted.</i>			
E. Does your State maintain a national control list for the following types of transfers? [Articles 2(2) & 5(2)]:		Yes	No
i)	Export	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	Import	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	Transit or trans-shipment	<input type="checkbox"/>	<input type="checkbox"/>
iv)	Brokering	<input type="checkbox"/>	<input type="checkbox"/>
<i>Re transit and brokering – Barbados is currently looking at draft legislation to address these two areas.</i>			
F. Does the same control list apply to all these types of transfers (or do you maintain different lists for different types of transfers)? (If 'No', please explain)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
G. Arms for recreational, cultural, historical and sporting purposes are included in the national control list [Article 2(1)(h) & Preamble, 13th para]			
		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
If 'No', please provide further information below, for instance whether a separate set of controls are applied to these types of arms			
H. Additional categories not listed under Section A1.D are included in the national control list [Article 5(3)] (If 'Yes', please specify below what the additional categories are)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
I. Are items on the national control list defined? [Article 5(3)] (If 'Yes', which definition(s) or descriptions do you use:			
i)	United Nations Register of Conventional Arms [Article 5(3)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	National definitions	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	Other (please specify, e.g. Wassenaar Arrangement, EU Common Military List, etc.)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
J. The national control list is publicly available [Article 5(4)] (If 'Yes', please provide information below as to how your control list is made publicly available - if available on the open internet please provide the hyperlink. If 'No', please explain)		Yes <input type="checkbox"/>	No <input type="checkbox"/>
<i>The list is to be formalized but all firearms are restricted.</i>			

1.3 NATIONAL POINT(S) OF CONTACT			
K. The contact details of the national point(s) of contact are as follows [Article 5(6)]:			
Name:		Mr. <input type="checkbox"/>	Ms. <input type="checkbox"/>
Position/Job:			
Ministry:			
Agency/Department:			
Email address (individual and/or institutional) :			
Telephone no.:			
Address:			

2. PROHIBITIONS

A. The national control system prohibits authorization of transfers as defined by Article 2(2), of conventional arms covered under Article 2(1) and items covered under Articles 3 and 4, if:		Yes	No
i)	the transfer would violate its obligations under measures adopted by the United Nations Security Council acting under Chapter VII of the Charter of the United Nations, in particular arms embargoes [Article 6(1)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	the transfer would violate its relevant international obligations under international agreements to which it is a Party, in particular those relating to the transfer of, or illicit trafficking in, conventional arms [Article 6(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	the State Party has knowledge at the time of authorization that the arms or items would be used in the commission of genocide, crimes against humanity, grave breaches of the Geneva Conventions of 1949, attacks directed against civilian objects or civilians protected as such, or other war crimes as defined by international agreements to which it is a Party [Article 6(3)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(If 'Yes', please consider providing further information. If 'No' in any respect, please elaborate below)			
<i>Barbados does not want to contravene any of its international obligations.</i>			
B. Which international agreements that you are a Party to do you consider relevant for the application of Article 6(2)? (Please list below. Please be advised that the ATT Secretariat maintains a non-exhaustive list of examples of the international agreements other States Parties have reported 'are relevant' to Article 6(2) in their Initial Reports. The list is available at https://www.thearmstreaty.org/initial-report-list-of-examples-for-q-2-b-2-c.html . States Parties are welcome to consult the list when preparing their answer to this question)			
<i>1972 Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on their Destruction (BWC/BIWC)</i>			
<i>1993 The Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on their Destruction (the Chemical Weapons Convention or CWC)</i>			
<i>1997 Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction</i>			

<p><i>2000 United Nations Convention against Transnational Organized Crime (UNTOC)</i> <i>2001 UNTOC Protocol against the Smuggling of Migrants by Land, Sea and Air</i> <i>2001 UNTOC Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition</i></p>		
<p>C. Which international agreements are you a Party to and do you consider relevant for defining 'war crimes' in the context of Article 6(3) (Please list below. Please be advised that the ATT Secretariat maintains a non exhaustive list of examples of the international agreements other States Parties have reported 'are relevant' to Article 6(3) in their Initial Reports. The list is available at https://www.thearmstradetreaty.org/initial-report-list-of-examples-for-q-2-b-2-c.html. States Parties are welcome to consult the list when preparing their answer to this question.)</p>		
<p><i>1948 Convention on the Prevention and Punishment of the Crime of Genocide.</i> <i>1949 Geneva Conventions</i> <i>1949 Geneva Conventions; Common Article 3</i> <i>1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict</i> <i>1954 Hague Protocol for the Protection of Cultural Property in the Event of Armed Conflict</i> <i>1977 Protocol I to the Geneva Conventions</i> <i>1977 Protocol II to the Geneva Conventions</i> <i>1997 Anti-Personnel Mine Ban Treaty</i> <i>1998 Rome Statute of the International Criminal Court: Articles 8(2)(a) and (b)</i> <i>1998 Rome Statute of the International Criminal Court: Articles 8(2)(c) and (e)</i> <i>1999 Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict</i></p>		
<p>D. Do you have guidelines on the application of the prohibitions to an individual case? (If 'Yes', please provide further information)</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p><i>The current Firearms Act disqualifies certain person from having a firearms license.</i> <i>International sanctions can also prohibit Barbados from exporting to or importing arms from certain countries.</i></p>		
<p>E. Additional voluntary information relevant to prohibitions under Article 6 (Please elaborate below - for instance: if prohibitions are applied to a wider range of products than defined in Articles 2(1), 3 and 4; the national interpretation of key concepts in Article 6)</p>		

3. EXPORTS

A. The national control system includes the following:		Yes	No
i)	an authorization or licensing system for arms exports [Article 5(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	export assessment criteria [Article 7]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	a risk assessment procedure [Article 7]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>If 'No' to any of the items above, please explain in more detail below</p>			
<p> </p>			
B. National legislation, regulations or administrative procedures include a definition of export [Articles 6(1) to 6(3) and Article 7] (If 'Yes', please specify. If 'No', please explain.)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<p><i>There is no definition in the current Firearms Act, but it is to be addressed in the new draft legislation. The definition is, however, in the Customs Act.</i></p>			

C. National export controls apply to all the conventional arms covered under Article 2(1), and the items covered under Articles 3 and 4 (If 'No', please provide further information below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
D. The competent national authority(-ies) for the control of exports [Article 5(5)] (Please specify the Ministry, Government Agency or Department below)		
<i>Office of the Commissioner of Police.</i>		
E. The national risk assessment procedure includes all the criteria described in Article 7(1)(a) and (b), and Article 7(4) (If 'Yes', please consider providing further information. If 'No' in any respect, please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>If risks are identified the state would be obligated to stop the transaction and to investigate the risk and determine whether to continue or terminate the transaction.</i>		
F. If a risk is identified, does the State ever consider whether there are measures that could be undertaken to mitigate identified risks [Article 7(2)] (If 'Yes' please give examples when mitigation measures are considered and the types of risk mitigation measures that are most often used)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>If risks are identified the state would be obligated to stop the transaction and to investigate the risk and determine whether to continue or terminate the transaction.</i>		
G. The national control system includes measures to ensure that all export authorizations are detailed and issued prior to export [Article 7(5)] (If 'Yes', please consider providing further information. If 'No', please provide further information below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>When exporting, before approval is given, that the country has authorized the importation.</i>		
H. The national control system allows appropriate information about an export authorization to be made available, upon request, to the importing State Party and/or to the transit or trans-shipment States Parties [Article 7(6)] (If 'No' please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
I. The national control system allows exports of controlled equipment without a licence or under simplified procedure under certain circumstances [for instance temporary exports or exports to trusted partners] (If 'Yes' please provide further information below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
J. Do you have guidelines on the application of the export assessment requirements to an individual case? (If 'Yes', please provide further information)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>Barbados seeks to ensure that the state importing has authorized that import prior to it being exported from Barbados.</i>		
K. The national risk assessment procedure includes other criteria <u>not</u> mentioned in the articles cited in 3.E above (If 'Yes' please specify below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
L. Measures to exercise control over exports are applicable also to other categories of conventional arms than those covered in Articles 2(1), 3 and 4 [Article 5(3)] (If 'Yes', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>All arms are prohibited and controlled.</i>		
M. An export authorization can be reassessed if new relevant information becomes available [Article 7(7)] (If 'Yes', please provide further information below.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

<i>If there is information coming to hand during the course of the transaction that would require the transaction to be halted that would be done and investigated and an assessment would be made to determine to continue or terminate the transaction.</i>		
N. Are there measures in place to suspend or withdraw a license? [Article 7(7)] (If 'Yes', please elaborate under which circumstances. For example, such a provision might exist with respect to arms embargoes but not otherwise. If 'No', please explain.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>Those measures are identified in the Firearms Act of Barbados.</i>		
O. Information / documentation included in an application for an export authorization (Please specify below)		
<i>Purchasers' name, address and purpose for which the item is to be used, to ensure that the transaction does not go against our obligations under the treaty.</i>		
P. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an export authorization [Article 5(5)] (Please specify below)		
<i>The Minister responsible for Defence and Security.</i>		
Q. The national control system provides information requested of it by a State of final destination concerning pending or actual export authorizations pertaining to it [Article 8(3)] (If 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
R. Additional voluntary information relevant to national export controls (Please specify below - for instance: on the control of re-exports, or further detail on relevant national measures; the national interpretation of key concepts in Article 7)		

4. IMPORTS

A. The national control system includes measures to prevent imports of conventional arms covered under Article 2(1) [Article 8(2)], as well as items covered under Articles 3 and 4 in violation of the prohibitions in Article 6. [Articles 6(1) to 6(3)] (If 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>All arms are on the control list.</i>		
If 'Yes', please also answer the following question		
B. The competent national authority(-ies) for the regulation of imports [Article 5(5)] (Please specify the Ministry, Government Agency or Department below)		
<i>The Office of the Attorney General, the Office of the Commissioner of Police, the Ministry of Defence and Security.</i>		
C. National legislation, regulations or administrative procedures include a definition of import [Articles 6(1) to 6(3) and Article 8] (If 'Yes', please specify. If 'No', please explain.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>There is no definition in the current Firearms Act, but it is to be addressed in the new draft legislation. The definition is, however, in the Customs Act.</i>		
D. The national control system allows the State to provide, pursuant to national law and upon request, appropriate and relevant information to assist another State Party that is conducting an export assessment [Article 8(1)] (If 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

E. Imports of conventional arms subject to regulation are, under specific circumstances, permitted without specific authorization or under simplified procedure (If 'Yes' please provide further information below)		
<i>All categories of arms are regulated</i>		
F. Measures to regulate imports are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (If 'Yes', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>All categories of arms are regulated</i>		
G. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an import authorization (when such an authorization is required) [Article 5(5)] (Please specify below)		
<i>The Office of the Attorney General, the Office of the Commissioner of Police, the Ministry of Defence and Security</i>		
H. Information / documentation required for an import authorization (Please specify below)		
Name of the importer as well as name and address of the end-user.		
I. Additional voluntary information relevant to national import controls (Please specify below)		

5. TRANSIT & TRANS-SHIPMENT

A. The national control system includes measures to prevent the transit of conventional arms covered under Article 2(1) [Article 9], as well as items covered under Articles 3 and 4 in violation of the prohibitions in Article 6. [Articles 6(1) to 6(3)] (If 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
<i>The new draft legislation will address this area.</i>		
B. The national control system includes measures to prevent the trans-shipment of conventional arms covered under Article 2(1) [Article 9], as well as items covered under Articles 3 and 4 in violation of the prohibitions in Article 6. [Articles 6(1) to 6(3)] (If 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
<i>The new draft legislation will address this area.</i>		
C. National legislation, regulations or administrative procedures include a definition of transit [Articles 6(1) to 6(3) and Article 9] (If 'Yes', please specify. If 'No', please explain.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>It is not included in the current Firearms Act, but is in the Customs Act and will be included in the new draft Firearms Act.</i>		
D. National legislation, regulations or administrative procedures include a definition of trans-shipment [Articles 6(1) to 6(3) and Article 9] (If 'Yes', please specify. If 'No', please explain.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>It is not included in the current Firearms Act, but is in the Customs Act and will be included in the new draft Firearms Act.</i>		
E. Measures to regulate transit and/or trans-shipment cover : (If 'Yes' to (ii) or (iii), please indicate in the free text field how enforcement is conceived - systematic control or only when information is available?)	Yes	No

i)	Transit / trans-shipment through land territory (including internal waters)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ii)	Transit / trans-shipment through territorial waters	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	Transit / trans-shipment through national air space	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>At ports of entry in collaboration with the Customs Department.</i>			
F. Does your State apply control measures to prevent transit and trans-shipment other than in violation of the prohibitions in Article 6? (If 'Yes', please specify the other control measures that your States applies to transit and trans-shipment.)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>Customs Department's use of the ASYCUDA System is used to identify what is part of cargo.</i>			
G. The competent national authority(-ies) for the regulation of transit and trans-shipment [Article 5(5)] (Please specify below)			
<i>The Commissioner of Police and the Customs Department.</i>			
H. Transit / trans-shipment of controlled equipment is permitted without a specific authorization or under simplified procedure under certain circumstances (for instance in a free trade area) (If 'Yes' please provide further information below)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
I. Measures to regulate transit and/or trans-shipment are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (If 'Yes', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>All arms are regulated.</i>			
J. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a transit or trans-shipment authorization (when such an authorization is required) [Article 5(5)] (Please specify below)			
<i>The Office of the Attorney General, the Office of the Commissioner of Police, the Ministry of Defence and Security.</i>			
K. Information / documentation required in an application for a transit / trans-shipment authorization (Please specify below)			
<i>The name of the vessel or aircraft, the listed cargo and the destination.</i>			
L. Additional voluntary information relevant to national transit / trans-shipment controls (Please specify below)			

6. BROKERING

A. The national control system includes measures to prevent the brokering of conventional arms covered under Article 2(1) [Article 10], as well as items covered under Articles 3 and 4 in violation of the prohibitions in Article 6. [Articles 6(1) to 6(3)] (If 'Yes', please provide further information below on the nature of control measures and confirm that they apply to all items in the national control list. If 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>It is to be included in the new draft legislation.</i>		
If 'Yes', please also answer the following question		

B. The measures to regulate brokering include:		Yes	No
i)	Requiring brokers to register before engaging in brokering [Article 10]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	Requiring brokers to obtain written authorization before engaging in brokering [Article 10]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>
<i>To be included in the new draft legislation, which will include an definition for brokering.</i>			
C. National legislation, regulations or administrative procedures include a definition of brokering [Articles 6(1) to 6(3) and Article 10] (If 'Yes', please specify. If 'No', please explain.)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
<i>To be included in the new draft legislation, which will include an definition for brokering.</i>			
D. Does your State apply control measures to prevent brokering other than in violation of the prohibitions in Article 6? (If 'Yes', please specify the other control measures that your States applies to brokering)		Yes <input type="checkbox"/>	No <input type="checkbox"/>
E. The competent national authority(-ies) for the regulation of brokering [Article 5(5)] (Please specify below)			
F. National brokering controls contain exemptions (for instance for national armed forces or defence industry) [Articles 6 & 10] (If 'Yes', please provide further information below)		Yes <input type="checkbox"/>	No <input type="checkbox"/>
G. Measures to regulate brokering are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (If 'Yes', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>These are identified in the new draft legislation.</i>			
H. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a control measure related to brokering [Article 5(5)] (Please specify below)			
<i>The Office of the Attorney General, the Office of the Commissioner of Police, the Ministry of Defence and Security.</i>			
I. Information / documentation required in an application related to brokering (Please elaborate below)			
J. Additional voluntary information relevant to national brokering controls (Please specify below)			

7. DIVERSION

A. Measures foreseen in the national control system to prevent the diversion of conventional arms covered by Article 2(1) [Article 11(1)]		Yes	No
i)	assessing the risk of diversion of an export [Article 11(2)] (if 'No', please provide background below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>

ii)	cooperation and information exchange, where appropriate and feasible and pursuant to national law, with other States Parties [Article 11(3)] (if 'No', please provide background below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
B. The national control system includes appropriate measures to be taken, pursuant to national law and in accordance with international law, when a diversion of transferred conventional arms under Article 2(1) has been detected [Article 11(4)] (If 'No', please elaborate below)			
C. Measures included in the national control system to prevent the diversion of conventional arms covered by Article 2(1) [Article 11(1)] :		Yes	No
i)	establishment of mitigation measures [Article 11(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	provision, upon request, of end use / end user documentation to the exporting State [Article 8(1)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	requirement for end use / end user assurances from an importing State (or industry) [Article 8(1)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iv)	examination, where appropriate, of parties involved in a transfer [Article 11(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
v)	requirement, where appropriate, for additional documentation, certificates, assurances for a transfer [Article 11(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
vi)	exchange of relevant information with other States Parties on effective measures to address diversion, as well as on illicit activities and actors [Articles 11(5) & 15(4)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
vii)	reporting through the Secretariat to other States Parties on measures taken to address diversion of transferred conventional arms covered under Article 2(1) [Articles 11(6) & 13(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
viii)	other measures [Article 11(1)] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
D. Measures included in the national control system, to be taken when a diversion of transferred conventional arms has been detected [Article 11(4)]			
i)	alerting potentially affected States Parties	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	investigative and law enforcement measures at the national level	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	using international tracing mechanisms to identify points of diversion	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iv)	other measures (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
E. Measures taken to prevent or address diversion are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (If 'Yes', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>All firearms are restricted and there is the possibility of diversion if the measures are not in place for all categories.</i>			
F. Additional voluntary information relevant to the prevention of diversion of conventional arms (Please specify below - for instance regarding measures in place to avoid diversion in the context of the international movement of conventional arms referred to in Article 2(3) of the Treaty)			

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8. RECORD KEEPING

A. The national control system includes provisions for maintaining records regarding: [Article 12(1)] (it is mandatory to retain records for one of the two options below)		Yes	No
i)	issued authorizations for the export of conventional arms covered under Article 2(1) [Article 12(1)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	actual exports of conventional arms covered under Article 2(1) [Article 12(1)] (if 'No' to both (i) and (ii), please elaborate below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
B. Records are kept for a minimum of 10 years [Article 12(4)] (If 'Yes', please elaborate for how many years records are kept. If 'No', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
C. The national control system includes provisions for maintaining records regarding :		Yes	No
i)	imports of conventional arms covered under Article 2(1) into national territory as final destination [Article 12(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	authorizations for the transit and/or trans-shipment through national territory of conventional arms covered under Article 2(1) [Article 12(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	authorizations related to the conduct of brokering activities included in the scope of the national control system (for instance relating to a register of brokers) [Article 10]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
D. Records cover other categories of conventional arms than those specified in Article 2(1) (If 'Yes', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<i>All arms are restricted and recorded.</i>			
E. Additional voluntary information relevant to national record keeping (Please specify below - for instance types of information preserved in national records for exports and imports respectively)			

9. REPORTING

A. The national control system allows for the provision of information for the preceding calendar year concerning authorized or actual exports and imports of conventional arms covered under article 2(1) as required by Article 13(3) (If 'No' or only partially, please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
B. Additional voluntary information relevant to national reporting (please specify below, for instance if national reports are publicly available - if available on the open internet please provide the relevant hyperlink)			

10. ENFORCEMENT

<p>A. Measures are in place that provide the ability to enforce of the national laws and regulations that implement the provisions of the Arms Trade Treaty [Article 14] (If 'No', please elaborate below)</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>B. National legislation allows the provision to another State Party of jointly agreed assistance in investigations, prosecutions and judicial proceedings in relation to violations of national measures established pursuant to this Treaty [Article 15(5)] (If 'No', please elaborate below)</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>C. National measures have been taken to prevent, in cooperation with other States Parties, the transfer of conventional arms covered under Article 2(1) of the Treaty becoming subject to corrupt practices [Article 15(6)] (Please elaborate below)</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>D. Additional voluntary information relevant to national enforcement (Please elaborate below - for instance measures taken to criminalize offences against national laws and regulations that implement the provisions of the Arms Trade Treaty and to prescribe legal penalties in these cases.)</p>		

11. INTERNATIONAL COOPERATION

<p>A. Cooperation is possible with other States Parties of the Treaty with a view to its effective implementation, where such cooperation is consistent with national law and security interests [Article 15(1)] (If 'No', please elaborate below)</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>B. Additional voluntary information relevant to participation in international cooperation (Please elaborate below - for instance in terms of the measures suggested in Article 15, or in terms of participation in international or regional cooperation in the transfer control area)</p>		

12. INTERNATIONAL ASSISTANCE

<p>A. National regulations and policy allow for the provision - upon request and if in a position to do so - of implementation assistance as set out in Article 16(1) (If 'No', please elaborate below)</p>	<p>Yes <input checked="" type="checkbox"/></p>	<p>No <input type="checkbox"/></p>
<p>B. The State is in a position to provide assistance with the following:</p>	<p>Yes</p>	<p>No</p>

i)	Establishing and/or maintaining a national control system [Article 5(2)] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	Establishing and/or maintaining a national control list [Articles 5(2)-(4)] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	Designating competent national authority (-ies) [Article 5(4)] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iv)	Designating a national point of contact(s) [Article 5(6)] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
v)	Establishing measures to regulate the export of arms and items, including a process for conducting risk assessments [Article 7] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
vi)	Establishing measures to regulate the import of arms [Article 8] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
vii)	Establishing measures to regulate the transit and trans-shipment of arms [Article 9] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
viii)	Establishing measures to regulate the brokering of arms [Article 10] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ix)	Establishing measures to prevent and address diversion [Article 11] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
x)	Record-keeping [Article 12] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
xi)	Reporting [Article 13] (if 'Yes', please specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
xii)	Other (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
C. The State would like to receive assistance with the following:		Yes	No
i)	Establishing and/or maintaining a national control system [Article 5(2)] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
ii)	Establishing and/or maintaining a national control list [Articles 5(2)-(4)] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>

iii)	Designating competent national authority (-ies) [Article 5(4)] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
iv)	Designating a national point of contact(s) [Article 5(6)] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
v)	Establishing measures to regulate the export of arms and items, including a process for conducting risk assessments [Article 7] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
vi)	Establishing measures to regulate the import of arms [Article 8] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
vii)	Establishing measures to regulate the transit and trans-shipment of arms [Article 9] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
viii)	Establishing measures to regulate the brokering of arms [Article 10] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
ix)	Establishing measures to prevent and address diversion [Article 11] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
x)	Record-keeping [Article 12] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
xi)	Reporting [Article 13] (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
xii)	Other (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
D. National regulations and policy allow for contribution of financial resources to the Voluntary Trust Fund established under Article 16(3) of the Treaty (If 'No', please elaborate below)		Yes <input type="checkbox"/>	No <input type="checkbox"/>
E. Additional voluntary information relevant to the provision- or receipt of implementation assistance (Please specify below - for instance regarding assistance provision capacities or assistance needs.)			

13. DISPUTE SETTLEMENT

A. National regulations and policy allow for consultations and, by mutual consent, cooperation in the settlement of disputes regarding the interpretation or application of the Treaty by at least one of the means outlined in Article 19(1) (If 'No', please elaborate below)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
B. National regulations and policy allow for the settlement of disputes concerning the interpretation or application of the Treaty through recourse by mutual consent to arbitration as outlined in Article 19(2) (If 'No', please elaborate below)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
C. Additional voluntary information relevant to dispute settlement under the terms of the Treaty (Please specify below)		

ANNEX A. Summary of updates to the previous Initial Report

Please include a description of the parts of the State's previous Initial Report that have been updated:

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